



VanEck Vectors ChinaAMC CSI 300 ETF

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NAME AND ADDRESS OF HOLDER

SRN/HIN

FORM W-8BEN CERTIFICATE OF FOREIGN STATUS OF BENEFICIAL OWNER FOR UNITED STATES WITHHOLDING AND REPORTING (INDIVIDUALS)

PART I – Identification of Beneficial Owner (see over)

1. Name of beneficial owner

- If the beneficial owner is a Complex Trust, write the full name of the Trust below (Generally, Australian Self Managed Superannuation Funds are regarded as Complex Trusts. (You should seek your own advice in this regard)
- If the beneficial owner is a Trust other than a Complex Trust, or the beneficial owner is NOT the same as the registered owner than the registered holder is considered an intermediary or flow through entity (Please refer to instructions on reverse)
- If the beneficial owner is the same as the registered owner, – please write the name of the beneficial owner in the box below
- If a joint holder, you will need to complete a form for each holder.

2. Country of citizenship

6. Foreign Tax ID

8. Date of Birth

____ / ____ / ____

3. Permanent residence address (street, apt., or suite no.). Do not use a PO Box or care-of address

City/town/state (include your post code)

Country (do not abbreviate)

4. Mailing address (if different from above)

City/town/state (include your post code)

Country (do not abbreviate)

PART II – Claim of Tax Treaty Benefits (for chapter 3 purpose only), if eligible

Important! If eligible, Non-individuals must tick box 9a AND enter country of residence. Individuals must tick box 9a AND enter country of residence.

9a The beneficial owner is a resident within the meeting of the income tax treaty between the United States and the country identified to the right.

Country

PART III – Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

1. I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates,
2. The beneficial owner is not a U.S. person,
3. The income to which this form relates is
 - (a) not effectively connected with the conduct of a trade or business in the United States,
 - (b) effectively connected but is not subject to tax under an income tax treaty, or
 - (c) the partner's share of a partnership's effectively connected income,
4. The beneficial owner is a resident of the treaty country listed in Part II above, and
5. For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to establish your status as a non-U.S. person.

Sign Here

Capacity in which acting

Contact Phone Number

() _____

Date

____ / ____ / ____

Signature of beneficial owner (or individual authorized to sign for beneficial owner)

RETURN THIS FORM TO LINK MARKET SERVICES – DO NOT FAX



**FORM W-8BEN CERTIFICATE OF FOREIGN STATUS OF BENEFICIAL OWNER FOR
UNITED STATES WITHHOLDING AND REPORTING (INDIVIDUALS)**

Do NOT use this form if

- You are not an individual
- You are a U.S. citizen or other U.S. person, including a resident alien individual
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services)
- You are a beneficial owner who is receiving compensation for personal services performed in the United States
- A person acting as an intermediary

Instead use Form

W-8BEN-E
W-9
W-8ECI
8233 or W-4
W-8IMY

As an individual or joint holder you are required to complete the U.S. Tax Form. If you do not complete the U.S. Tax Form, or otherwise qualify for an exemption, you will have U.S. backup withholding tax deducted from your distributions.

If you have U.S. backup withholding tax deducted from your distribution, you will need to file a tax return in the United States to claim any tax refund or credit, if entitled, and you will not be entitled to claim a foreign tax credit in Australia.

Complete this form if you are an individual or joint holder and are a beneficial owner of units.

A beneficial owner is generally the person who is required under U.S. tax principles to report the income on their U.S. Income Tax Return (if they must file a U.S. Tax Return). A person is not a beneficial owner to the extent that person is receiving income or holding units for the benefit of someone else; for example, as a nominee, agent or custodian.

Instructions on how to complete each item on the form are set out below:

PART I – Identification of Beneficial Owner for United States

1. Clearly print your full name. This name should match the name in which your units are registered. If your units are jointly owned, each unitholder must complete and submit a separate U.S. Tax Form (refer to item 2).

2. Country of citizenship

Clearly print the country you are a citizen.

3. Permanent residence address

Clearly print your permanent residence address. Do not abbreviate city and/or country names.

Do not use a P.O. Box or care-of address. This will cause your form to be invalid and you will be required to complete a new U.S. Tax Form. A P.O. Box can be included in item 4.

Note: Your “permanent residence address” is the address in the country where you claim to be a resident for the purposes of that country’s income tax. This may be different from your registered address noted on your holding. Please note that this address will only be used for the purposes of this form and will not be used to update your holding.

If the address to be provided in item 3 is a U.S. address, please call +61 1300 68 38 37 for more information.

4. Mailing Address

Enter your mailing address if different from item 3.

The mailing address in item 4 can be a broker’s address, including a P.O. Box. Please note that this address will only be used for the purposes of this form and will not be used to update your holding.

If the address to be provided in item 4 is a U.S. address, please call +61 1300 68 38 37 for more information.

PART II – Claim of Tax Treaty Benefits, if eligible

Eligible Individuals If you indicated the type of beneficial owner is an individual or joint holder you must tick box 9a, and enter the country of permanent residence

PART III – Certification

Signature – This must be the signature of the beneficial owner or an authorised signatory of the beneficial owner

Capacity in which acting – If this form is completed by and agent acting under a duly authorized Power of Attorney, the form must be accompanied by the Power of Attorney. The document must specifically state the Attorney has power to execute United States tax documentation

DO NOT FAX THIS FORM – RETURN THIS FORM TO LINK MARKET SERVICES CONTAINING ORIGINAL SIGNATURE

All Registry communications to: VanEck Vectors ChinaAMC CSI 300 ETF (Synthetic), C/- Link Market Services Limited,
Locked Bag A14, Sydney South NSW 1235 Australia.

Personal Information Collection Notification Statement: Link Group advises that personal information it holds about you (including your name, address, date of birth and details of the financial assets) is collected by Link Group organisations to administer your investment. Personal information is held on the public register in accordance with Chapter 2C of the *Corporations Act 2001*. Some or all of your personal information may be disclosed to contracted third parties, or related Link Group companies in Australia and overseas. Your information may also be disclosed to Australian government agencies, law enforcement agencies and regulators, or as required under other Australian law, contract, and court or tribunal order. For further details about our personal information handling practices, including how you may access and correct your personal information and raise privacy concerns, visit our website at www.linkmarketservices.com.au for a copy of the Link Group condensed privacy statement, or contact us by phone on +61 1800 502 355 (free call within Australia) 9am–5pm (Sydney time) Monday to Friday (excluding public holidays) to request a copy of our complete privacy policy.